

sector 14



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING REQUIREMENTS

Applicant: Robert Klaus

Date: March 28, 2000

Serial No.: 09/477,057

Filed: January 4, 2000

For: INTERACTIVE SYSTEM AND METHOD FOR SELLING INSURANCE

-----

Kansas City, Missouri

Box: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

This reply is to the Office Letter mailed February 10, 2000.

The following items are applicable:

1.   X   Declaration and Power of Attorney for a Patent Application is enclosed for this application. The Declaration applies to the above-captioned application and identifies same.
2.   X   attached is a statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the enclosed Declaration.
3.   X   Change of Attorney's Address in Patent Application is enclosed.
4. Fees:

- (a) Surcharge fees for late payment of filing fee and/or late filing of original Declaration (37 C.F.R. 1.16(e)).

  X   Other than Small Entity (\$130) \$ 130.00

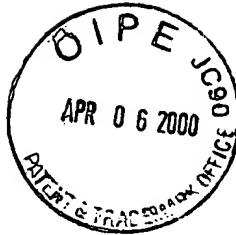
TOTAL FEES (Surcharge) \$ 130.00

5. Payment of Fees:

  X   Enclosed is our check No. 330779 to cover, among other items, the above fees.

       Charge Deposit Account No. 12-1660 for the total fees. A duplicate copy of this sheet is attached.

Robert Klaus



Serial No. 09/477,057

6. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 12-1660. A duplicate copy of this sheet is attached.

X Any fees under 37 C.F.R. 1.16 for presentation of extra claims or additional filing fees.

X Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Shughart Thomson & Kilroy, P.C.  
120 West 12th Street  
Kansas City, Missouri 64105  
(816) 421-3355

BY

Kent R. Erickson  
Reg. No. 36,793

I hereby certify that this COMPLETION  
OF FILING REQUIREMENTS for application  
Serial No. 09/477,057, filed January 4, 2000,  
is being deposited with the United  
States Postal Service as first class mail  
in an envelope addressed to Box: MISSING  
PARTS, Assistant Commissioner for Patents,  
Washington, D.C. 20231 on March 28, 2000.

By

March 28, 2000

(Date of signature)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

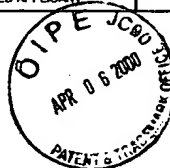
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/477,057 01/04/00 KLAUS

99.539

0232/0210

LITMAN KRAAI & BROWN LLC  
4700 BELLEVUE  
SUITE 200  
KANSAS CITY MO 64112



2758

DATE MAILED:

02/10/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

1/2000 CVORACH 00000101 09477057 130.00 09  
:105